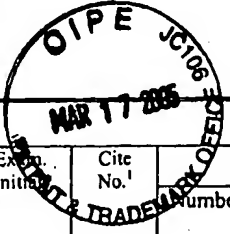


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-2334		SERIAL NO. 10/783,779	
				APPLICANT: Yu Gong			
				FILING DATE: February 20, 2004		GROUP: 2171	
U.S. PATENT DOCUMENTS							
Exam. Initial	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
/LH/		5,819,298		Wong et al.	10-06-1998		
		5,870,746		Knutson et al.	02-09-1999		
↓		5,890,169		Wong et al.	03-30-1999		
		6,035,412		Tamer et al.	03-07-2000		

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

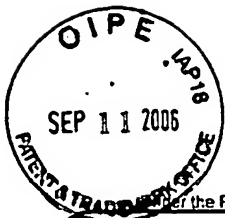
OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²

Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08a (07-05)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/783,779
Filing Date February 20, 2004
First Named Inventor Yu Gong
Art Unit 2171
Examiner Name NYA
Attorney Docket Number 50277-2334

Sheet 1

of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/LH/		US-5,333,265	07-26-1994	Orimo et al.	
V		US-5,454,102	09-26-1995	Tang et al.	
		US-7,024,656 B1	04-04-2006	Ahad	
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FOREIGN PATENT DOCUMENTS

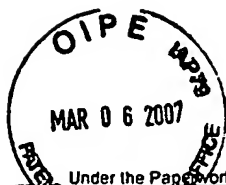
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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STATEMENT BY APPLICANT**

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Application Number 10/354,692
Filing Date February 20, 2004
First Named Inventor Yu Gong
Art Unit 2166
Examiner Name Harper, L.
Attorney Docket Number 50277-2334

Sheet 1

of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/LH/		US-6,470,354	10-2002	Aldridge et al.	
		US-6,711,582	3-2004	Aldridge et al.	
↓		US-6,947,945	9-2005	Carey et al.	
		US-7,096,224	8-2006	Murthy et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/354,692
Filing Date	February 20, 2004
First Named Inventor	Yu Gong
Art Unit	2166
Examiner Name	Harper, L.
Attorney Docket Number	50277-2334

Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

[illegible]

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(PTO-1449)**



ATTY. DOCKET NO.
50277-2334

SERIAL NO.
10/783,779

APPLICANT:
Yu Gong

FILING DATE:
February 20, 2004

GROUP:
2166

U.S. PATENT DOCUMENTS

Exam. Initial*	U.S. Patent Document No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
/LH/		5,454,101		Mackay et al.	09-1995	
		5,491,810		Steven C. Allen	02-1996	
		5,551,020		Flax et al.	08-1996	
		5,687,343		Fecteau et al.	11-11-1997	
		5,692,174		Bireley et al.	11-1997	
		5,717,915		Stolfo et al.	02-1998	
		5,758,345		James Chien Wang	05-1998	
		5,787,445		Dabeko	07-1998	
		5,787,446		Dang et al.	07-1998	
		5,787,471		Inoue et al.	07-1998	
		5,822,749		Brijesh Agarwal	10-1998	
		5,832,509		Mortis et al.	11-1998	
		5,937,408		Shoup et al.	08-10-1999	
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		6,014,614		Herring et al.	01-11-2000	
		6,032,158		Mukhopadhyay et al.	02-29-2000	
		6,035,298		Stephen McKearney	03-2000	
		6,041,357		Kunzelman et al.	03-21-2000	
		6,088,728		Bellemore et al.	07-11-2000	
		6,101,497		Ofek	08-08-2000	
↓		6,108,657		Shoup et al.	08-22-2000	
		6,122,636		Malloy et al.	09-19-2000	

Examiner
Signature

/Leon Harper/

Date Considered

03/16/2007

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 50277-2334	SERIAL NO. 10/783,779
	APPLICANT: Yu Gong	
	FILING DATE: February 20, 2004	GROUP: 2166

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
/LH/		6,247,008		Cambot et al.	06-2001	
↓ ↓		6,272,503	B1	Bridge, Jr. et al.	08-07-2001	
		6,484,179	B1	Roccaforte	11-19-2002	
		6,604,104	B1	Smith	08-05-2003	
		6,757,689	B2	Battas et al.	06-29-2004	
		2002/0161896	A1	Wen et al.	10-31-2002	
		2003/005028	A1	Dritschler et al.	01-02-2003	
		2005/0256908	A1	Wanli Yang et al.	11-17-2005	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
/LH/		WO	02/007037	A	Galactic Computing Corporation	01-24-2002		
		WO	03/062983	A2	Sun Microsystems, Inc.	07-31-2003		
		WO	03/014928	A2	International Business Machines Corporation	02-20-2003		
		EP	1 170 662	A2	Hitachi, Ltd.	01-09-2002		
		EP	0 942 363	A2	International Business Machines Corporation	09-15-1999		

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)		ATTY. DOCKET NO. 50277-2334	SERIAL NO. 10/783,779
		APPLICANT: Yu Gong	
		FILING DATE: February 20, 2004	GROUP: 2166

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
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/LH/		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026389, August 4, 2005, 13 pages.	
↓		Jeffrey S. Chase et al., "Dynamic Virtual Clusters in a Grid Site Manager," Proceedings of the 12 th IEEE International Symposium on High Performance Distributed Computing (HPDC'03), 2003, IEEE, pp. 90-100	
↓		European Patent Office, "International Preliminary Report on Patentability," August 26, 2005, International Application No. PCT/US2004/026570, 9 pages.	
↓		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026445, August 25, 2005, 12 pages.	
↓		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2004/026405, January 6, 2006, 13 pages.	
↓		Henry Song et al., "Browser State Repository Service," Lecture Notes in Computer Science, Vol. 2414, 2002, pp. 1-14, XP002904339.	
↓		Eric Skow et al., "A Security Architecture for Application Session Handoff," 2002, IEEE International Conference Proceedings, April 28-May 2, 2002, Vol. 1 or 5, pp. 2058-2063, XP010589848.	
↓		Claus Hagen, et al., "Highly Available Process Support Systems: Implementing Backup Mechanisms," 1999, IEEE, pp. 112-121.	
↓		IBM Corp., "Conditional Container Reuse for Database and Tablespace Restore," IBM Technical Disclosure Bulletin, Vol. 39, No. 12, December 1996, XP-002240529, pp. 199-200.	


Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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	APPLICANT: Yu Gong	
	FILING DATE: February 20, 2004	GROUP: 2166

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
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/LH/		R. W. Dey, et al., IBM Corp., "DATA SPACE MANAGER," IBM Technical Disclosure Bulletin, Vol. 25, No. 11A, April 1983, XP-000714243, pp. 5456-5459.	
		Oracle Corporation, "Advanced Analytic Services," 1996-2001, Oracle9i Warehousing Guide, Release 1 (9.0.1), Part Number A90237-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/server.901/a90237 , data retrieved 8/6/2004, pp. 1-5.	
		Oracle Corporation, "Transforming Tables into Multidimensional Data Structures," 1996-2001, Oracle9i OLAP Services Concepts and Administration Guide, Release 1 (9.0.1), Part Number A88755-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a88755/ , data retrieved 8/6/2004, pp. 1-3.	
		Oracle Corporation, "Understanding the OLAP API," 1996-2001, Oracle9i OLAP Services Concepts and Administration Guide, Release 1 (9.0.1), Part Number A88755-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a88755/ , data retrieved 8/6/2004, pp. 1-3.	
		Oracle Corporation, "Controlling the Flow of Execution," 1996-2001, Oracle9i OLAP Services Developer's Guide to the OLAP DML, Release 1 (9.0.1), Part Number A86720-01, http://download-west.oracle.com/docs/cd/A91202_01/901_doc/olap.901/a86720/ , data retrieved 8/6/2004, pp. 1-4.	

Examiner Signature /Leon Harper/	Date Considered 03/16/2007
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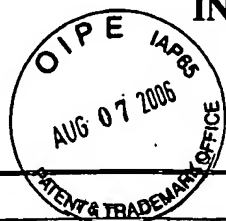
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IN AN APPLICATION

(PTO-1449)



50277-2334

10/783.779

Yu Gong

February 20, 2004

2166

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
/LH/		6,978,282	B1	Dings et al.	12-2005	
		6,993,657	B1	Renner et al.	01-2006	
↓		2002/0091702	A1	Ward Mullins	07-2002	
		2002/0143733	A1	Mukkamalla et al.	10-2002	
		2003/0172158	A1	Pillai et al.	09-2003	
		2003/0208505	A1	Mullins et al.	11-2003	
		2003/0212789	A1	Hamel et al.	11-2003	
		2004/0034669	A1	Smith et al.	02-2004	

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²

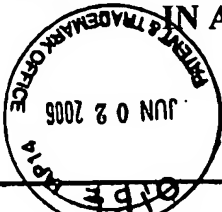

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03/16/2007

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-2334		SERIAL NO. 10/783,779	
 				APPLICANT: Yu Gong			
				FILING DATE: February 20, 2004		GROUP: 2161	
U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
↓ /H/		6,014,670		Zamanian et al.	01-11-2000		
		6,233,537	B1	Gryphon et al.	02-15-2001		
		6,339,775	B1	Zamanian et al.	01-15-2002		
		6,442,748	B1	Bowman-Amuah	08-27-2002		
		6,694,505	B1	Tan	02-17-2004		
		6,792,431	B2	Tamboli et al.	09-14-2004		
		6,839,724	B2	Manchanda et al.	01-04-2005		
		6,996,566	B1	George et al.	02-07-2006		

FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50277-2334	Application Number: 10/783,779
		First Named Inventor: Yu Gong	
		Filing Date: February 20, 2004	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/LH/		"Optimizing Development Productivity Using UML in Oracle9iJ Developer," Oracle, December 2001, 21 pages.	
/LH/		"UML Distilled Applying the Standard Object Modeling Language", Martin Fowler et al., 1997, whole book.	
/LH/		"Object-Oriented Modeling and Design," James Rumbaugh et al, November 14, 1990, pages 366-396.	

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-2334		SERIAL NO. 10/783,779	
				APPLICANT: Yu Gong			
				FILING DATE: February 20, 2004		GROUP: 2171	
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		Number	Kind Code ² (If known)				
/LH/		6,222,840	B1	Walker et al.	04-24-2001		

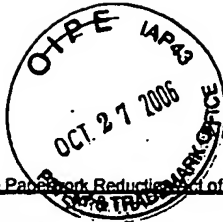
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/LH/		GUNTHER, OLIVER et al., "MMM: A Web-Based System For Sharing Statistical Computing Modules," May-June 1997, IEEE, Vol. 1 Issue 3, pp. 59-68.	
/LH/		KURAKAWA, KEI et al., "Life Cycle Design on Environmental Information Sharing," Environmentally Conscious Design and Inverse Manufacturing 1999, Proceedings EcoDesign '99, Feb. 1999, IEEE, pp. 138-142.	
/LH/		SHIVA, S.G., et al., "Modular Description/Simulation/Synthesis Using DDL," 19 th Design Automation Conference 1982, IEEE Press, pp. 321-329.	
/LH/		SPIEGLER, ISRAEL, "Automating Database Construction," ACM SIGMIS Database, Vol. 14, Issue 3, Spring 1983, pp. 21-29.	

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PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Project of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,779
Filing Date	February 20, 2004
First Named Inventor	YU GONG
Art Unit	2166
Examiner Name	Leon Jonathan Harper
Attorney Docket Number	50277-2334

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

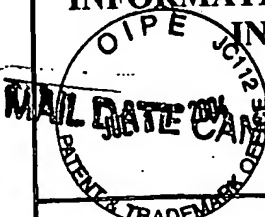

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/LH/		PASCAL AUBRY, THIERRY GAUTIER, "GC: the Data-Flow Graph Format of Synchronous Programming", IRISA/INRIA, EP-ATR team of Association of Computing Machinery, 1995, p. 83-93, IR'95, 1/95, San Francisco, CA, USA.	

Examiner Signature	/Leon Harper/	Date Considered	03/16/2007
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Customer Ref. No. OID 2003-177-01

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50277-2334	SERIAL NO. 10/783,779	
<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  </div> <div style="text-align: center;">  </div> </div>				APPLICANT: Yu Gong		
FILING DATE: February 20, 2004				GROUP: 2171		
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
<div style="display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; margin-right: 10px;">↓</div> <div style="font-size: 2em; font-weight: bold;">V</div> </div>	/LH/	4,930,071		Tou et al.	05-29-1990	
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		5,396,623		McCall et al.	03-07-1995	
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		6,643,652	B2	Helgeson et al.	11-04-2003	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 50277-2334	Application Number: 10/783,779
	First Named Inventor: Yu Gong	
	Filing Date: February 20, 2004	

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/LH/		Oracle Corporation, "Oracle9i Application Server: Business Intelligence Technical Overview," June 2003, An Oracle White Paper, http://otn.oracle.com/products/bi/pdf/bi_overview.pdf , pp. 1-13.	
/LH/		Oracle Corporation, "ETL Processing with Oracle9i," June 2001, An Oracle White Paper, http://otn.oracle.com/products/bi/pdf/bi_overview.pdf , pp. 1-18.	
/LH/		Oracle Corporation, "Oracle9i for e-Business: Business Intelligence," June 2001, An Oracle White Paper, http://otn.oracle.com/products/oracle9i/pdf/o9i_bi_twp.pdf , pp. 1-18.	
/LH/		Oracle Corporation. "Oracle 9i Warehouse Builder, Architectural White paper" January 2003, http://otn.oracle.com/products/warehouse/pdf/OWB_Architecture_WhitePaper.pdf , pp. 1-16.	

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 50277-2334	SERIAL NO. 10/783,779
	APPLICANT: Yu Gong	
	FILING DATE: February 20, 2004	GROUP: 2171

U.S. PATENT DOCUMENTS						
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/LH/		2001/0047270	A1	Gusick et al.	11-29-2001	
		6,442,568	B1	Velasco et al.	08-27-2002	
↓		2003/0236834	A1	Gottfried	12-25-2003	
		6,691,155	B2	Gottfried	02-10-2004	

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/LH/		Current Claims in PCT application, International Application No. PCT/US03/23747, 14 pages.	
/LH/		International Searching Authority, "Notification of Transmittal of the International Search Report or the Declaration," dated May 25, 2004, 6 pages.	
/LH/		Kei Kurakawa et al., "Life Cycle Design Support Based on Environmental Information Sharing," IEEE, 1-3 Feb. 1999, Proceedings EcoDesign '99, First International Symposium, pp. 138-142.	
/LH/		Oliver Gunther, et al., "MMM: A Web-Based System for Sharing Statistical Computing Modules," IEEE, May-June 1997, Volume 1, Issue 3, pp. 59-68.	

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/LH/		5,890,167		Bridge, Jr. et al.	03-30-1999		
/LH/		2002/0073139	A1	Hawkins et al.	06-13-2002		

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/LH/		WO	02/05116	A2	Telefonaktiebolaget LM Ericsson	01-17-2002		
/LH/		WO	02/097676	A2	Oracle International Corporation	12-05-2002		
/LH/		EP	0 992 909	A2	NCR International Inc.	04-12-2000		

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/LH/		Current Claims of International Application No. PCT/US2004/025805, 8 pages.	
/LH/		International Searching Authority, "Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," dated December 3, 2004, 12 pages.	

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**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(PTO-1449)

ATTY. DOCKET NO.
50277-2334

SERIAL NO.
10/783,779

APPLICANT:
Yu Gong

FILING DATE:
February 20, 2004

GROUP:
2171

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		6,208,990	B1	Suresh et al.	03-27-2001	
/LH/						

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/LH/		R. Hugo Patterson et al., "Informed Prefetching and Caching", <i>ACM</i> 1995, pages 79-95.	

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